

Office of the City Auditor

FISCAL YEAR 2020 – QUARTER 1 UPDATE: OCTOBER 1 – DECEMBER 31, 2019

REPORTS ISSUED

During Quarter 1, the Office of the City Auditor released the following reports:

- Audit of the City of Dallas' Purchasing and Travel Cards
- Audit of the Dallas Police Department's Complaint Process

INVESTIGATIVE SERVICES

Fraud, Waste, and Abuse Hotline Alerts	
Received	Closed
31	38*

In Quarter 1, Investigative Services closed 38 complaints. Of those closed, 5 were substantiated. Of the substantiated complaints, 4 resulted in corrective actions, including:

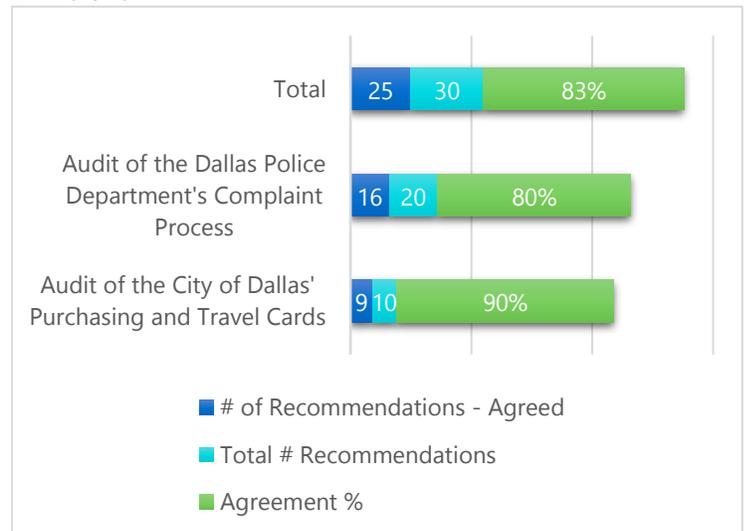
- Amendments to contracts.
- Violation forwarded to proper City Department.
- Disciplinary action.
- Increase in internal controls.
- Increase in separation of duties.

***Note:** The number of closed complaints exceeds the number of received, as there were pending cases in queue from the prior fiscal year.

MANAGEMENT AGREEMENT

Refer to Exhibit 1 below for a summary of the percentage of recommendations management agreed-to per audit.

Exhibit 1:



PROJECTS IN PROGRESS

Projects in progress include nine carry-over audits from the Fiscal Year 2019 Audit Plan, and seven projects from the Fiscal Year 2020 Audit Plan. Audits on the horizon for release in Quarter 2 include:

- Audit of the TexasCityServices, LLC Contract
- Audit of Security of Online Payments
- Audit of Park Maintenance and Safety
- Audit of Library Facility Planning
- Special Audit of the Former Mayor and City Council Members
- Audit of Open Records Requests

2

Reports Issued

83%

Management Agreement
to Recommendations

16

Projects In Progress